PTOSB/21 (08-00)
MODIFIED**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number 09/920,240

Filing Date 08/01/2001

First Named Inventor Pierte ROO

Group Art Unit 2682

Examiner Name Eugene Yun

Total Number of Pages in This Submission 5

Attorney Docket Number MP0039.CIP

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
☒ Credit Card Authorization
☐ Amendment / Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/Incomplete Application
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a Provisional Application
☐ Associate Power of Attorney
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
☐ Appeal Communication to Board of Appeals and Interferences
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☐ Other Enclosure(s) (please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual nameAndrew J. Bateman
Registration No.: 45,573

Signature

Date

07/19/2005

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Signature

Date



FEE TRANSMITTAL for FY 2005

Effective 10/01/2005. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**180.00**

Complete If Known

Application Number	09/920,240
Filing Date	08/01/2001
First Named Inventor	Pierte ROO
Examiner Name	Eugene Yun
Art Unit	2682
Attorney Docket No.	MP0039.CIP

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account

50-1710

Account Name

KATTEN MUCHIN ROSENMAN, LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge above Deposit Account with any additional fees necessary UNDER 37 CFR 1.16 AND/OR 1.17 to maintain pendency of this application.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	300	2001	150	Utility Filing Fee	300.00
1111	500	2111	250	Utility Search Fee	500.00
1311	200	2311	100	Patent Examination Fee	200.00
SUBTOTAL (1)					(\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee		Fee Paid
-	- 20** =		X	50.00		
Independent	- 3** =		X	200.00		
Multiple Dependent				360.00		

Large Entity		Small Entity		Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	50	2202	25	Claims in excess of 20	
1201	200	2201	100	Independent claims in excess of 3	
1203	360	2203	180	Multiple dependent claim, if not paid	
1204	200	2204	100	** Reissue independent claims over original patent	
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0.00

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity		Small Entity		Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable (1.17(l))	
1453	1,500	2453	750	Petition to revive - unintentional (1.17(m))	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1,100	2503	550	Plant issue fee	
1460	130	1460	130	Petitions to the Director	
1807	50	1807	50	Processing fee - provisional app (1.17(q))	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (1.129(a))	
1814	130	2814	65	Statutory Disclaimer	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Req for expedited examination - Design App	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**180.00**

SUBMITTED BY

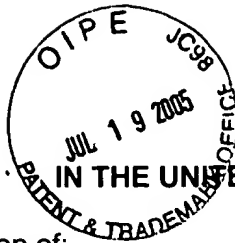
Name: Andrew J. Bateman

Registration No.: 45,573

Telephone: (202) 625-3547

Signature: _____

Date: 07/19/2005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pierle ROO

Application No.: 09/920,240

Filed: August 1, 2001

For: ACTIVE RESISTIVE SUMMER FOR
A TRANSFORMER HYBRID

Examiner: Eugene YUN

Group Art Unit: 2682

Confirmation No.: 4035

Date: July 19, 2005

INFORMATION DISCLOSURE STATEMENTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 CFR § 1.56 and in accordance with the practice under 37 CFR §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed PTO-1449.

In accordance with 37 CFR § 1.97(h), this Information Disclosure Statement is not to be construed as an admission that the information cited is or is considered to be material to patentability as defined in 37 CFR § 1.56(b), nor as an admission that the information constitutes prior art within the meaning of 35 USC §§ 102 and/or 103.

It is respectfully requested that the information listed on the PTO-1449 be considered by the Examiner, and that an initialed copy of the PTO-1449 be returned indicating that such information was considered.

Authorization for payment of the IDS fee of \$180.00 is being filed concurrently herewith.

Should the Examiner have any questions, Applicant's undersigned attorney is reachable by telephone in our Washington, D.C. office at (202) 625-3547. The correspondence address of record is provided below.

07/20/2005 HALI11 00000140 09920240

01 FC:1806

180.00 0P

Respectfully submitted,

KATTEN MUCHIN ROSENMAN, LLP

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Washington, DC 20007-5201
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By:

Andrew J. Bateman
Registration No. 45,573



FORM PTO 1449 MODIFIED U.S. PATENT AND TRADEMARK OFFICE				ATTORNEY DOCKET NO. MP0039.CIP		APPLICATION NO. 09/920,240	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT			
				Pierte ROO			
				FILING DATE 08/01/2001		GROUP 2682	
DATE SUBMITTED TO USPTO: July 19, 2005							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
		6,307,490	11/23/2001	LITFIN, et al.			
		4,503,421	03/05/1985	HAREYAMA, et al.			
		5,579,004	11/26/1996	LINZ			
FOREIGN PATENT DOCUMENTS							
*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION OR ABSTRACT (Yes or No)
OTHER DOCUMENTS (Including author, title, date, pertinent pages, etc.)							
EXAMINER				DATE CONSIDERED			
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							